



Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	Unassigned 10/691,033
Filing Date	Unassigned
First Named Inventor	Zongqin Xia
Art Unit	1654
Examiner Name	Susan D. Coc
Attorney Docket Number	HASEL-65949

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner
Signature

Steven D. Lee

Date
Considered

6-15-04

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	Unassigned- 10/694,133
Filing Date	Unassigned
First Named Inventor	Zongqin Xia
Group Art Unit	1654
Examiner Name	Susan D. Coc
Attorney Docket Number	HASEL-65949

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SL		MARTIN, ET AL., Definition of "Alzheimer's disease," The Bantam Medical Dictionary, 2nd Edition, 1981, p. 15, Bantam Books, New York	
SL		SCHWARTZ, ROCHELLE ET AL., "Presynaptic Nicotinic Cholinergic Receptors Labeled by [3H] Acetylcholine on Catecholamine and Serotonin Axons in Brain," Journal of Neurochemistry, 1984, pp. 1495-1498, Vol. 42, No. 5, Raven Press, New York.	
SL		SRAMEK, JOHN J., ET AL., "A Bridging Study of LU-25-109 in Patients with Probable Alzheimer's Disease," Life Sciences, 1998, pp. 195-202, Vol. 62, No. 3, Elsevier Science, Inc.	
SL		YI, NINGYU, ET AL., "Extraction of .beta.-adnergic receptor- and M cholinergic receptor-regulating (.beta.,.5.beta.,.25s)-spirostan-3-ol from Anemarrhena Asphodeloides Bunge," 1999. XP: 002117141	
SL		MA, BEIPING, ET AL., "The Use of Steroidal Saponin Compounds to Prevent Senility, and Novel Steroid Saponin Compounds," 1999. CAPLUS abstract of W099/6786	

Examiner Signature	<i>Susan D. Coc</i>	Date Considered	6-15-04
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	Unassigned 10/691,033
				Filing Date	Herewith
				First Named Inventor	Zongqin Xia
				Art Unit	1654
				Examiner Name	Sue D. Coe
				Attorney Docket Number	HASEL-65949
Sheet	1	of	2		

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	<i>Joan D. Lee</i>	Date Considered	6-15-04
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	Unassigned - 10/691,033
		Filing Date	Herewith
		First Named Inventor	Zongqin Xia
		Group Art Unit	1654
		Examiner Name	Sue D. Coe
Sheet 2 of 2	Attorney Docket Number	HASEL-65949	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SL		BLUNDEN, ET AL., "Steroidal Sapogenins from Leaves of Agaveae Species" (Abstract), Phytochemistry, 1978, 17(11), 1923-1926.	
SL		NINGYU, ET AL., "Sarsasapogenin: Mechanism in Treating Senile Dementia," (Paper 62), Synthesis and Applications of Isotopically Labelled Compounds, 1997, pp. 315-320, John Wiley & Sons Ltd.	
SL		NINGYU ET AL., "The Mechanism of a Sapogenin From Anemarrhenae Asphodeloides Bge in the Treatment of Senile Dementia" (Abstract), Proceedings of the 6th International Symp. of the International Isotope Society, Philadelphia, 1997, page 103.	
		MA ET AL., "The Use of Steroidal Saponin Compounds to Prevent Senility, and Novel Steroid Saponin Compounds" (Abstract), Copyright 1999.	

Examiner Signature	<i>[Signature]</i>	Date Considered	6-15-04
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/691,033
Filing Date	October 21, 2003
First Named Inventor	Zongqin Xia
Art Unit	1651
Examiner Name	Unassigned
Attorney Docket Number	HASEL-65949

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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**Examiner
Signature**

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Date
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6-15-04

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